

9 Introduction and Chapter Objectives

In our analysis approach of dynamic systems so far, we have defined variables which describe the energy in the circuit energy storage elements (voltages across capacitors and currents through inductors). We then used KVL and KCL to write differential equations describing the circuit, in terms of those variables. The resulting equations were then combined into a single differential equation governing the parameter in which we were interested (the *input-output equation* for the system); this equation was solved to determine the response of the circuit. This approach is useful for low-order systems, such as the first or second order systems we have examined so far, but it becomes cumbersome for higher-order systems. An alternate modeling approach, *state variable* (or *state space*) modeling, has a number of advantages over the approach we have been using to date, especially for higher-order systems.

In this chapter, we will provide a very brief introduction to the topic of state variable modeling¹. The brief presentation provided here is intended simply to introduce the reader to the basic concepts of state variable models, since they are a natural – and relatively painless – extension of the analysis approach we have used in Chapters 7 and 8. Introduction to state variable models at this stage also allows the reader to perform *numerical simulations* of system responses. Numerical simulations are computer-generated solutions to the differential equations governing the system. Most numerical approaches to the solution of differential equations require the equations to be in state variable form².

State variable models of dynamic systems consist of several first order differential equations, in several different variables. (These variables are called the *state variables*.) The state variables for a system must completely describe the energy contained in all the energy storage elements in the system, so natural choices of state variables for electrical circuits are the voltages across capacitors and the currents through inductors. If there are N state variables required to describe the circuit, the state variable model is created by applying KVL and KCL to obtain N first-order differential equations in these N variables. Please notice that this approach is exactly the approach we have used in Chapter 8 to determine the differential equation governing a second order circuit – we are simply eliminating the step in which the individual equations are combined into a single, higher-order differential equation in a single unknown. Since the mathematics associated with combining the individual equations can be tedious, state variable models are actually easier to create than input-output equations!

A brief description of state variable models is provided in section 9.1 of this chapter. An example of the state variable model for a third order system is provided to illustrate development of the model. Section 9.2 provides

¹ We will provide a fairly in-depth presentation of state variable models later in this text (in Chapter 14), when we explicitly address modeling of higher-order systems.

² The differential equation solvers in MATLAB and Octave, for example, require the equations to be in state variable form. Circuit simulation software packages such as SPICE create the equations governing the circuit in state variable form before solving them

information relative to numerical simulation of a state variable model using MATLAB, and section 9.3 provides Octave syntax to perform the same processes³.

If desired, this chapter can be skipped without loss of continuity.

After completing this chapter, you should be able to:

- Define state variables for electrical circuits
- Write differential equations governing electrical circuits in state variable form
- Use MATLAB and/or Octave to simulate the impulse response of an electrical circuit
- Use MATLAB and/or Octave to simulate the step response of an electrical circuit
- Use MATLAB and/or Octave to plot the state trajectory of an electrical circuit

9.1 Introduction to State Variable Models

9.1.1 Background and Introduction

As their name implies, state variable models are based on the concept of a system's state. The state of a system is the minimum amount of information necessary to completely characterize the system at some instant in time. More specifically, if we know the state at any time, and the input to the system for all subsequent times, we can determine the output of the system at any subsequent time⁴. It turns out that the system's state uniquely determines the energy in all the system's energy storage elements and vice-versa. If the energy in any of the energy storage elements changes, the system's state changes.

The *state variables* are the smallest set of variables which completely describe the state (or the energy storage) of the system. The choice of state variables is not unique, but one possible choice of state variable is those variables which describe the energy stored in all of the independent energy storage elements in the system. For example, in electrical circuits, inductors store energy as current and capacitors store energy as voltage. If we choose as state variables the currents in inductors and the voltages across the capacitors, we will have created a legitimate set of state variables for the circuit.

Since the state variables are independent, they can be visualized as a set of orthogonal axes defining a space. The space defined by the state variables is called *state space* of the system. If the system is described by N state variables, the state space will be N -dimensional. The state of the system at any given time can be visualized as a point in the state space.

In general, as the system responds to some input, the system's state will change over time. Since the state of the system is a point in state space, the change in the system's state can be visualized as tracing a path over time in the state space. This path is called the *state trajectory*.

9.1.2 Form of State Variable Models

State variable models, as mentioned previously, represent an N^{th} order system as N first order differential equations in N unknowns. (The unknowns are the state variables.) For linear, lumped-parameter, time invariant systems, these equations will take the form:

$$\begin{aligned}\dot{x}_1(t) &= a_{11}x_1(t) + a_{12}x_2(t) + \cdots + a_{1N}x_N(t) + b_1u(t) \\ \dot{x}_2(t) &= a_{21}x_1(t) + a_{22}x_2(t) + \cdots + a_{2N}x_N(t) + b_2u(t)\end{aligned}$$

³ Information relative to acquiring MATLAB and Octave are provided in the relevant sections.

⁴ Thus, the initial conditions of a system constitute the state of the system.

$$\begin{aligned} & \vdots \\ \dot{x}_n &= a_{N1}x_1(t) + a_{N2}x_2(t) + \cdots + a_{NN}x_N(t) + b_Nu(t) \end{aligned} \quad \text{Eq. 9.1}$$

Where $x_1(t), x_2(t), \dots, x_N(t)$ are the system and $u(t)$ is the input to the system. The overdot notation denotes differentiation with respect to time; $\dot{x}_k(t) = \frac{dx_k(t)}{dt}$. (We will assume that no derivatives of the input are applied to the system – this is a special case which we will avoid in this introductory chapter.)

It turns out that, if all system states are known, we can determine any other parameter in the system. In fact, any other parameter in the system can be written as a linear combination of the states and the input. Thus, we can write the system output as:

$$y(t) = c_1x_1(t) + c_2x_2(t) \cdots + c_Nx_N(t) + du(t) \quad \text{Eq. 9.2}$$

Equations (9.1) and (9.2) are commonly written in matrix form as:

$$\underline{\dot{x}}(t) = A\underline{x}(t) + \underline{b}u(t) \quad \text{Eq. 9.3}$$

$$y(t) = \underline{c}\underline{x}(t) + du(t) \quad \text{Eq. 9.4}$$

In equation (9.3), the vector $\underline{x}(t)$ is an $N \times 1$ column vector containing the system state variables. The matrix A is a square $N \times N$ matrix, and the vector \underline{b} is an $N \times 1$ column vector. The vector $\underline{\dot{x}}(t)$ is an $N \times 1$ column vector containing the derivatives of the state variables as a function of time. In equation (9.4), the vector \underline{c} is a $1 \times N$ row vector, and d is a scalar. Equation (9.3) provides the *state equations* for the system, and equation (9.4) is called the *output equation* of the system.

It is possible to define more than one output in a system. To define multiple outputs, we simply create a vector of outputs, each row of which is an equation of the form of equation (9.2). For example, if we define P outputs, the set of output equations becomes:

$$\begin{aligned} y_1 &= c_{11}x_1 + c_{12}x_2 + \cdots + c_{1N}x_N + d_1u \\ y_2 &= c_{21}x_1 + c_{22}x_2 + \cdots + c_{2N}x_N + d_2u \\ & \vdots \\ y_P &= c_{P1}x_1 + c_{P2}x_2 + \cdots + c_{PN}x_N + d_Pu \end{aligned} \quad \text{Eq. 9.5}$$

In the case of multiple outputs, we modify our matrix expression of equation (9.4) to:

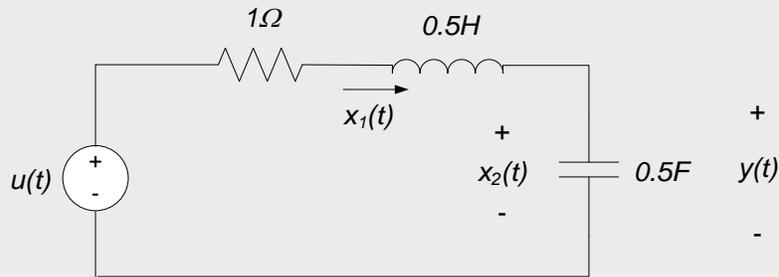
$$\underline{y}(t) = C\underline{x}(t) + \underline{d}u(t) \quad \text{Eq. 9.6}$$

Where $\underline{y}(t)$ is a $P \times 1$ column vector containing the outputs, C is a $P \times N$ matrix, and \underline{d} is a $P \times 1$ column vector.

Creation of the state variable model for an electrical circuit is probably best described by example. Examples of creation of state variable models for both second and third order circuits are provided in the examples below. Please notice that the creation of a third order state variable model is not significantly more difficult than creation of a second order state variable model. Creation of a third order input-output equation is, however, generally considerably more difficult than creation of a second order input-output model. (Try, for example, creating an input-output relation for the circuit of example 9.2 below.)

Example 9.1: State Variable Model of Series RLC Circuit

A series RLC circuit is shown below. Appropriate state variables are the current through the inductor and the voltage across the capacitor, as shown.



Applying KVL around the circuit loop, we obtain:

$$u = x_1 + 0.5\dot{x}_1 + x_2$$

Applying KCL at the node between the inductor and capacitor results in:

$$x_1 = 0.5\dot{x}_2$$

Rearranging the above equations and placing them in matrix form results in:

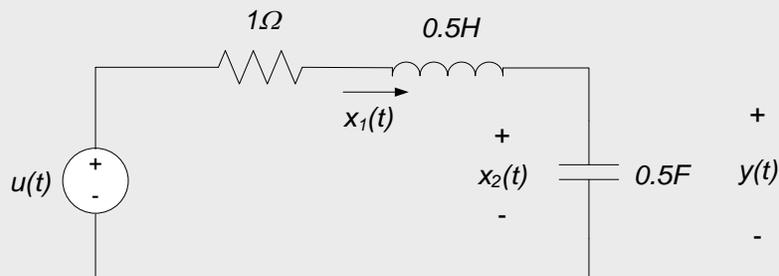
$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -2 & -2 \\ 2 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 2 \\ 0 \end{bmatrix} u(t)$$

Since the output $y = x_2$, the output equation is:

$$y = \begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + 0 \cdot u$$

Example 9.2: State Variable Model of Third Order Circuit

Consider the circuit shown below. The input to the system is the voltage $u(t)$, and the output variable is the voltage across the resistor, $y(t)$. There are three energy storage elements in the system (two inductors and a capacitor) so we will expect the system to be third order with three state variables. These state variables are chosen to be the currents through the inductors and the voltage difference across the capacitor; these are indicated on the figure below.



We write the state equations by applying KVL and KCL to the circuit. Since there are three state variables, three state equations must be written. Applying KVL around the leftmost loop results in:

$$u(t) = L_1\dot{x}_1(t) + x_3(t)$$

Applying KVL around the rightmost loop results in:

$$x_3(t) = L_2\dot{x}_2(t) + Rx_2(t)$$

Note that in the equation above, the voltage across the resistor is written as Rx_2 , rather than y . This is consistent with the general state equation format which requires that the derivative of each state variable be written only in terms of the other state variables and the input. Our final state equation is obtained by applying KCL at the node interconnecting the two inductors and the capacitor:

$$x_1(t) = x_2(t) + C\dot{x}_3(t)$$

The above can be re-written in matrix form as:

$$\begin{bmatrix} \dot{x}_1(t) \\ \dot{x}_2(t) \\ \dot{x}_3(t) \end{bmatrix} = \begin{bmatrix} 0 & 0 & \frac{-1}{L_1} \\ 0 & -R & \frac{1}{L_2} \\ \frac{1}{C} & \frac{-1}{C} & 0 \end{bmatrix} \begin{bmatrix} x_1(t) \\ x_2(t) \\ x_3(t) \end{bmatrix} + \begin{bmatrix} \frac{1}{L_1} \\ 0 \\ 0 \end{bmatrix} u(t)$$

The above state equations allow us to determine any parameter of interest in the circuit. Our output variable is the voltage across the resistor, R . We can use Ohm's law to write the equation describing the desired output in terms of the state variable x_2 to obtain:

$$y(t) = [0 \quad R \quad 0] \begin{bmatrix} x_1(t) \\ x_2(t) \\ x_3(t) \end{bmatrix} + 0 \cdot u(t)$$

Section Summary

- The system's *state* completely describes the system. If we know the state of the system at some time t_0 , and the input to the system for all times $t \geq t_0$, we can determine the output of the system for all times $t \geq t_0$. The state of the system must uniquely describe the energy stored in all energy storage elements in the system.
- The *state variables* are a set of system variables which describe the system state. A system's state variables are not unique – there are a variety of variables which can describe the energy in a system. However, the number of state variables must correspond to the number of independent energy storage elements in the system. Since inductors store energy in terms of current and capacitors store energy in terms of voltage, one possible choice of state variables is the voltages across capacitors and the currents through inductors.
- The *state equations* for the system are a set of N first order differential equations, in N state variables. If the system is linear and time invariant, the state equations can be written in matrix form as:

$$\dot{\underline{x}}(t) = A\underline{x}(t) + \underline{b}u(t)$$

- The state equations are typically obtained by application of Kirchoff's laws to the circuit.
- The system output at any time can be determined from the states and the input at that time. The *output equation* for a system is a linear combination of the states and the input which provide the desired output. In the case of a linear, time invariant system with a single output, the output equation can be written in matrix form as:

$$y(t) = \underline{c} \underline{x}(t) + du(t)$$

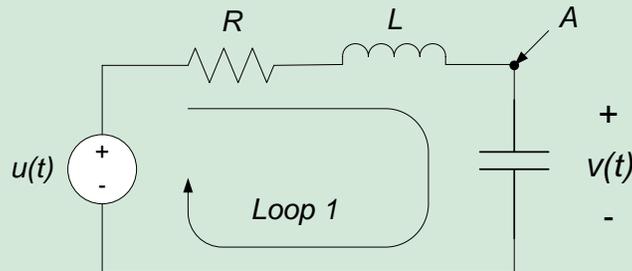
- If multiple outputs are desired, the above matrix form of the output equation can be generalized as:

$$\underline{y}(t) = C\underline{x}(t) + \underline{d}u(t)$$

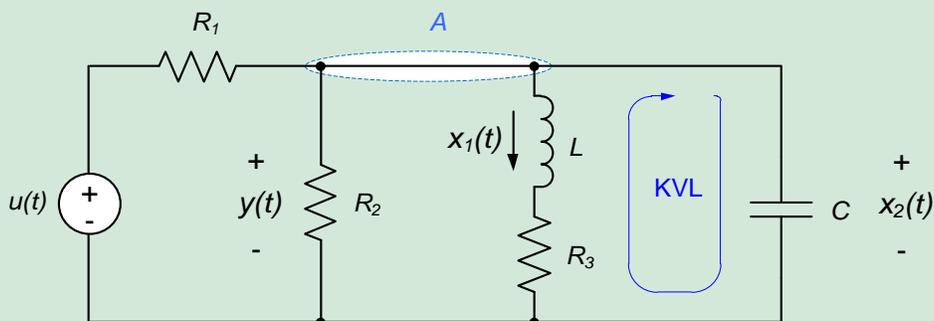
Where $\underline{y}(t)$ is a column vector of the outputs, C is a matrix, and \underline{d} is a column vector.

9.1 Exercises

- For the circuit shown below, apply KCL at node A and KVL around loop 1 to write two first order differential equations in two unknowns: the current through the inductor and the voltage across the capacitor. Place the two equations in state variable format.



- Write a state variable model $\{A,b,c,d\}$ for the circuit of problem 1 if the output is the voltage across the capacitor.
- Write a state variable model $\{A,b,c,d\}$ for the circuit of problem 1 if the output is the voltage across the inductor.
- Write a state variable model $\{A,b,c,d\}$ for the circuit of problem 1 if the output is the voltage across the resistor.
- Write a state variable model for the circuit below. $u(t)$ is the input voltage, and $y(t)$ is the output. (Hint: you might want to try applying KCL at node A and KVL around the indicated loop.)



9.2 Numerical Simulation of System Responses Using MATLAB

Analytical solutions of the state variable or input-output models is difficult or impossible for higher-order or nonlinear systems. Thus, numerical (or computer-based) solutions of these differential equations have become increasingly popular. This chapter provides a brief outline describing the use of some special-purpose MATLAB commands for simulating the response of linear, time invariant systems (these are systems which are governed by linear differential equations with constant coefficients).

Some MATLAB functions presented in this chapter are available in MATLAB's Control Systems Toolbox. The Control Systems Toolbox comes with the Student Edition of MATLAB. For more information about MATLAB products, see the MathWorks web site at <http://www.mathworks.com/>.

This section assumes some knowledge of basic MATLAB syntax. For those who are not familiar with MATLAB, a brief overview of the necessary topics is provided in Appendix A.1 of this textbook.

9.2.1 Basic Commands for Simulation of Linear, Time-invariant Systems

MATLAB's Control Systems Toolbox contains a number of special-purpose commands for simulating the response of linear, time-invariant systems. Among these commands are commands specific to determining the step response and natural response of systems; we will restrict our attention to these commands in this chapter. Later courses in your engineering curriculum will most likely present more general-purpose MATLAB commands.

To calculate system responses (e.g. to solve the differential equations of interest), we will use only MATLAB's **step** and **initial** commands in this chapter. The **step** command calculates a unit step response for the system, while the **initial** command calculates the natural response of a system to some set of initial conditions. We will also use the **ss** command to create state space model objects, to send to the **step** and **initial** commands. Basic syntax for these commands is provided below.

step: The command **[y,x,t]=step(sys)** returns the step response of the state variable model described by model object **sys**. The vector **y** contains the system output, the matrix **x** contains the states, and the vector **t** contains the time samples.

- The command **[y,x]=step(sys)** returns the step response as above, but calculated over the specified time vector **t**.
- The command **[y,t]=step(sys)** returns the system output as above, and the times at which the response is calculated, but not the state variables **x**.
- **step(sys)** with no left-hand arguments results in a plot of the step response output.

initial: Response of linear system to an initial condition. **[y,x,t]=initial(sys,x0)** returns the response of the system described by the model object **sys** to an initial condition contained in the vector **x0**. Variations on this command are similar to those provided above for the **step** command.

ss: Create a state space model object. **sys = ss(A, b, c, d)** returns an object named **sys** which provides a state space model corresponding to the matrices provided in **A, b, c, and d**.

Example 9.3: Step Response of Series RLC Circuit

Determine and plot the response of the system of example 9.1 if:

$$u(t) = \begin{cases} 0V, & t < 0 \\ 2V, & t \geq 0 \end{cases}$$

And the circuit is initially relaxed (i.e. all voltages and currents in the system are initially zero). Also plot the state trajectory for this input. The state equations for the circuit of example 9.1 were previously determined to be:

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -2 & -2 \\ 2 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 2 \\ 0 \end{bmatrix} u(t)$$

While the output equation is:

$$y = [0 \quad 1] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + 0 \cdot u$$

Thus, the matrices describing the state space model are:

$$A = \begin{bmatrix} -2 & -2 \\ 2 & 0 \end{bmatrix}, \underline{b} = \begin{bmatrix} 2 \\ 0 \end{bmatrix}, \underline{c} = [0 \quad 1], d = 0$$

To simulate the response of the system we first need to input the state variable model. We begin by defining the A , b , c , and d matrices as follows:

```
>> A = [-2  -2; 2  0];
>> b = [2; 0];
>> c = [0 1];
>> d = 0;
```

The >> symbols denote the command prompt at MATLAB's command window; they are included here to emphasize MATLAB commands.

It is generally desirable to create a model object in MATLAB to represent the system model⁵. To create a state space system model, the command is `ss`. Arguments to the command are the above matrices; the output is the system model object. To create a model of our system, we type:

```
>> sys = ss(A, b, c, d);
```

Our workspace now contains a state space model object of our system named "sys".

We can simulate the response of this system to the desired input by using MATLAB's `step` command. The step command assumes that the system is initially relaxed and the input to the system is:

$$u(t) = \begin{cases} 0, & t < 0 \\ 1, & \geq 0 \end{cases}$$

The input to our system is exactly twice this input, so we can simply scale our output by a factor of two. (This works because the system is linear – don't try this with a nonlinear system!) The appropriate commands are (note that we have to scale both the output and the states, since we will be plotting the output response and the state trajectory):

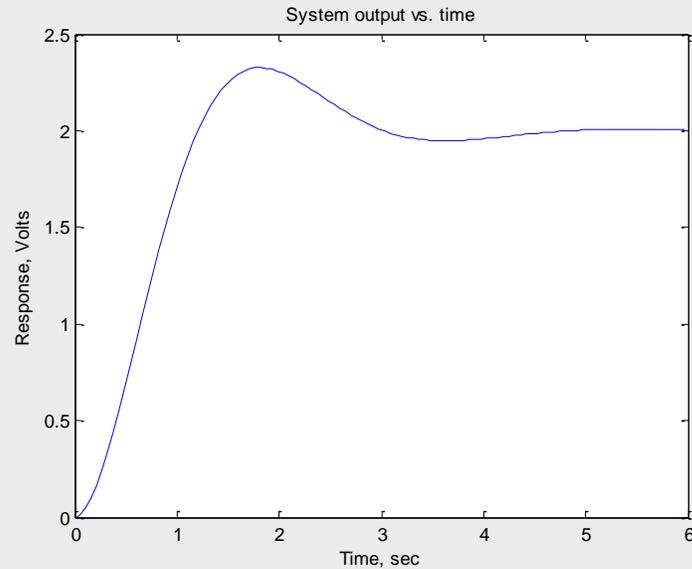
```
>> [y, x, t] = step(sys);
>> y = 2 * y;
>> x = 2 * x;
```

The final step is to plot the responses. Plotting the output response can be accomplished with the following commands:

```
>> figure
>> plot(t, y)
>> title('System output vs, time')
>> xlabel('Time, sec')
>> ylabel('Response, Volts')
```

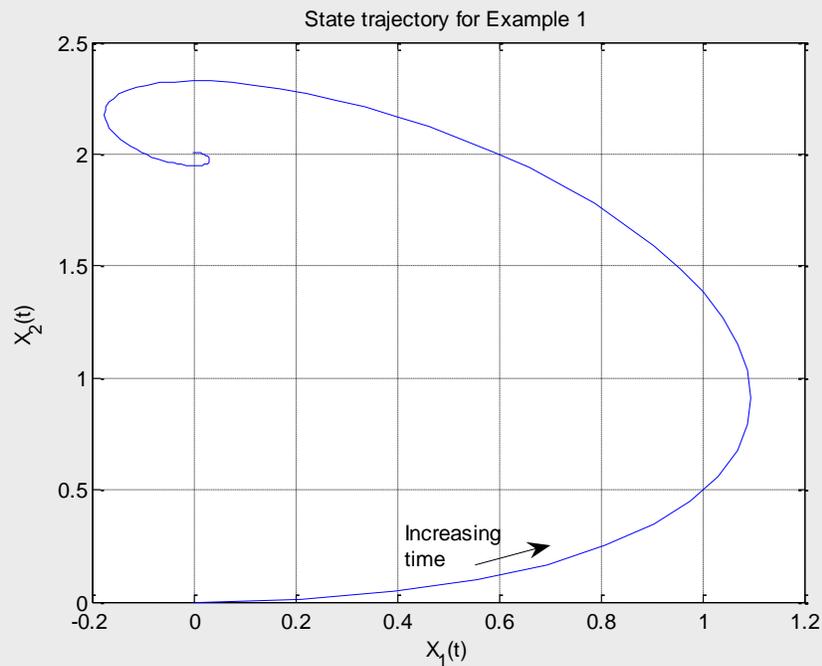
Which results in the figure below:

⁵ This is not entirely necessary, since the step and initial commands will accept the A, b, c, and d matrices directly as arguments. It is, however, encouraged.



We can plot the state trajectory by plotting the second state vector, $x_1(t)$, vs. the first state vector, $x_2(t)$. MATLAB returns the first state vector as the first column of the x matrix, the second state vector as the second column of the x matrix, and so on. Thus, we can plot the state trajectory with the following commands:

```
>> plot(x(:, 1), x(:, 2))
>> grid
>> xlabel('X1(t)')
>> ylabel('X2(t)')
>> title('State trajectory for Example 1')
```



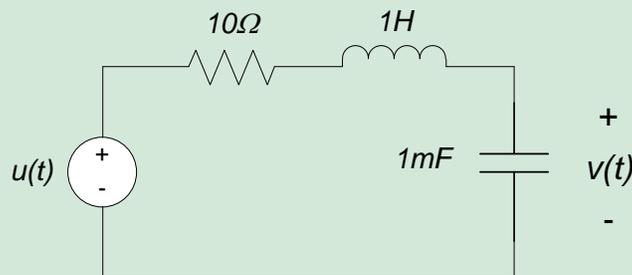
Section Summary:

- If you have access to MATLAB's control system toolbox,

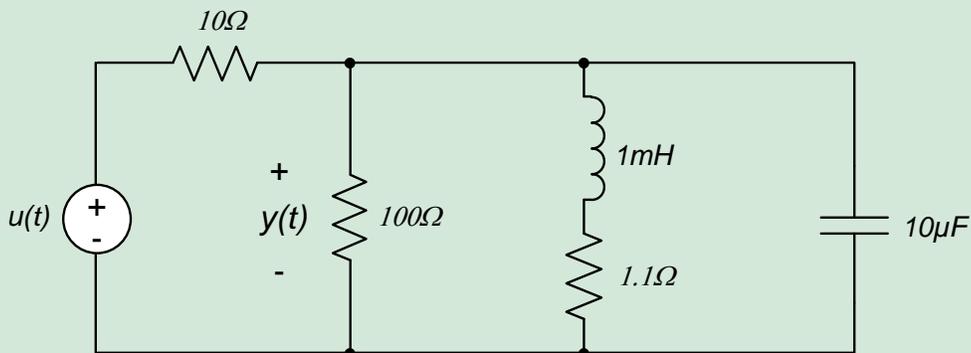
- A state space model object can be created with the `ss` function. Inputs to the function are the A, b, c, and d matrices of the state space model.
- The `step` command can be used to calculate the step response of the system.
- The `initial` command can be used to calculate the natural response of the system.

9.2 Exercises

1. Simulate the response $y(t)$ of the circuit below, if $u(t)$ is a unit step function.



2. Simulate the response $y(t)$ of the circuit below, if $u(t)$ is a unit step function.



9.3 Numerical Simulation of System Responses Using Octave

This chapter provides a brief outline describing the use of some special-purpose Octave commands for simulating the response of linear, time invariant systems (these are systems which are governed by linear differential equations with constant coefficients).

Octave is an open-source software with many of the same capabilities as MATLAB. Unlike MATLAB, however, Octave is available for free. For more information about Octave, see the website at www.gnu.org. Octave syntax is very similar to MATLAB syntax, so the commands in this section are very similar to those provided in section 9.2.

This section assumes some knowledge of basic Octave syntax. For those who are not familiar with Octave, a brief overview of the necessary topics is provided in Appendix A.2 of this textbook.

9.3.1 Basic Commands for Simulation of Linear, Time-invariant Systems

Like MATLAB, Octave provides a number of special-purpose commands for simulating the response of linear, time-invariant systems. Among these commands are commands specific to determining the step response and natural response of systems; we will restrict our attention to these commands in this chapter.

To calculate system responses (e.g. to solve the differential equations of interest), we will use only Octave's **step** and **initial** commands in this chapter. The **step** command calculates a unit step response for the system, while the **initial** command calculates the natural response of a system to some set of initial conditions. We will also use the **ss** command to create state space model objects, to send to the **step** and **initial** commands. Basic syntax for these commands is provided below.

step: The command **[y,t]=step(sys)** returns the step response of the state variable model described by the model object **sys**. The vector **y** contains the system output, the matrix **x** contains the states, and the vector **t** contains the time samples.

- The command **[y,t]=step(sys,t)** returns the step response as above, but calculated over the specified time vector **t**.
- The command **[y,t]=step(sys)** returns the system output as above, and the times at which the response is calculated, but not the state variables **x**.
- **step(sys)** with no left-hand arguments results in a plot of the step response output.

initial: Response of linear system to an initial condition. **[y,x,t]=initial(sys,x0)** returns the response of the system described by the state space model $\{A,b,c,d\}$ to an initial condition contained in the vector **x0**. Variations on this command are similar to those provided above for the **step** command.

ss: Create a state space model object. **sys = ss(A, b, c, d)** returns an object named **sys** which provides a state space model corresponding to the matrices provided in **A, b, c, and d**.

Example 9.4: Step Response of Series RLC Circuit

Determine and plot the response of the system of example 9.1 if:

$$u(t) = \begin{cases} 0V, & t < 0 \\ 2V, & t \geq 0 \end{cases}$$

and the circuit is initially relaxed (i.e. all voltages and currents in the system are initially zero). Also plot the state trajectory for this input. The state equations for the circuit of example 9.1 were previously determined to be:

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -2 & -2 \\ 2 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 2 \\ 0 \end{bmatrix} u(t)$$

While the output equation is:

$$y = [0 \quad 1] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + 0 \cdot u$$

Thus, the matrices describing the state space model are:

$$A = \begin{bmatrix} -2 & -2 \\ 2 & 0 \end{bmatrix}, b = \begin{bmatrix} 2 \\ 0 \end{bmatrix}, c = [0 \quad 1], d = 0$$

To simulate the response of the system we first need to input the state variable model. We begin by defining the A, b, c, and d matrices as follows:

```
> A = [-2 -2; 2 0];
> b = [2; 0];
> c = [0 1];
> d = 0;
```

The > symbols denote the command prompt at Octave's command window; they are included here to emphasize Octave commands.

Unlike MATLAB, Octave requires you to create a model object to represent the system model. To create a state space system model, the command is `ss`. Arguments to the command are the above matrices; the output is the system model object. To create a model of our system, we type:

```
> sys = ss(A, b, c, d);
```

Our workspace now contains a state space model object of our system named “sys”.

We can simulate the response of this system to the desired input by using Octave’s `step` command. The `step` command assumes that the system is initially relaxed and the input to the system is:

$$u(t) = \begin{cases} 0, & t < 0 \\ 1, & t \geq 0 \end{cases}$$

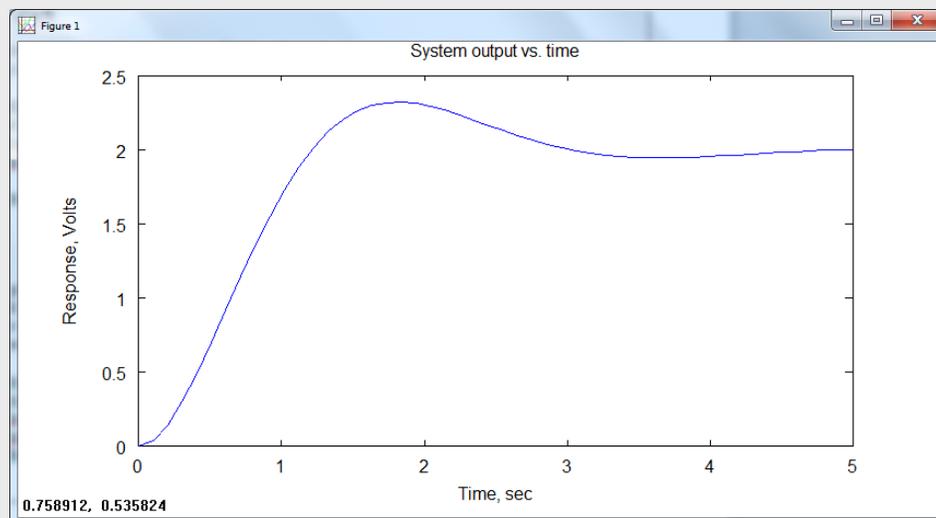
The input to our system is exactly twice this input, so we can simply scale our output by a factor of two. (This works because the system is linear – don’t try this with a nonlinear system!) The appropriate commands are (note that we have to scale both the output and the states, since we will be plotting the output response and the state trajectory):

```
> [y, t] = step(sys);
> y = 2 * y;
```

The final step is to plot the response. Plotting the output response can be accomplished with the following commands:

```
> plot(t, y)
> title('System output vs. time')
> xlabel('Time, sec')
> ylabel('Response, Volts')
```

which results in the figure below:



In order to plot the state trajectory using Octave, we must modify our state space model somewhat. Unlike MATLAB, the states themselves are not returned by Octave’s `step` command. However, we can alter our `c` and `d` matrices so that the output, `y`, contains both system states. We will set up our output equations as follows:

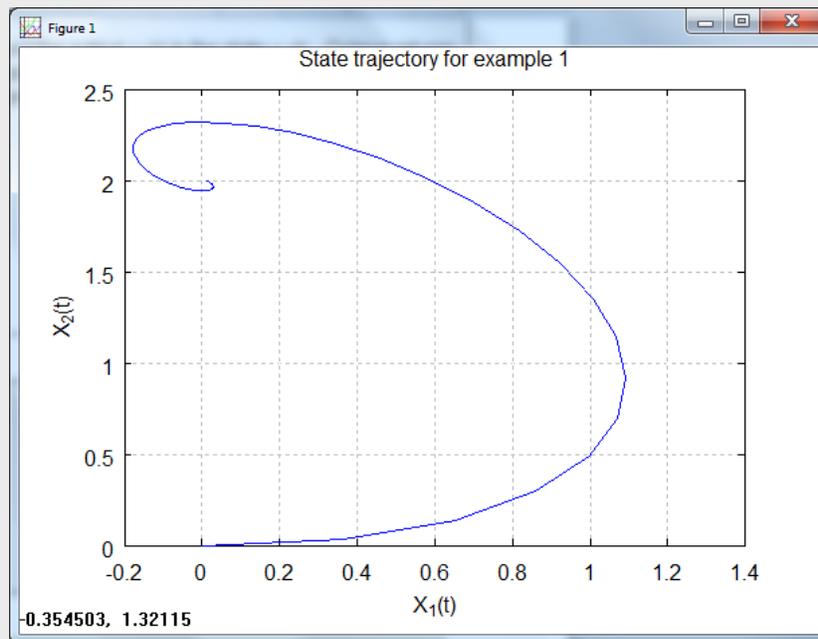
$$\begin{bmatrix} y_1(t) \\ y_2(t) \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \end{bmatrix} u$$

This is implemented in Octave by re-setting the c and d matrices, re-calculating the model object, and re-calculating the system response with the following commands:

```
> c = [1 0; 0 1];
> d = [0; 0];
> sys = ss(A, b, c, d);
> [y, t] = step(sys);
> y = 2 * y;
```

Now, the output $y_1(t)$ is simply the state $x_1(t)$ and the output $y_2(t)$ is the state $x_2(t)$. Octave returns the first state vector as the first row of the y matrix, and the second state vector as the second row of the y matrix. Thus, we can plot the state trajectory with the following commands:

```
> plot(y(1, :), y(:, 2))
> grid
> xlabel('X1(t)')
> ylabel('X2(t)')
> title('State trajectory for Example 1')
```

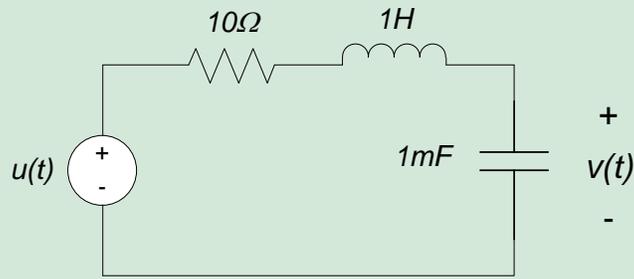


Section Summary

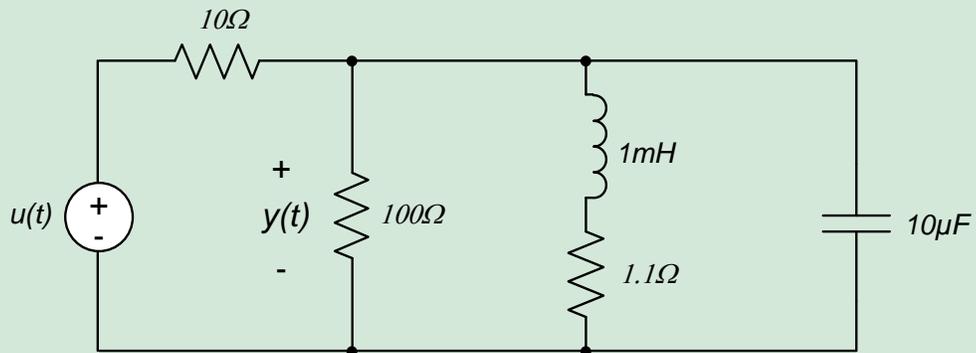
- Octave allows you to simulate linear, time invariant systems with the following commands:
 - A state space model object can be created with the **ss** function. Inputs to the function are the A , b , c , and d matrices of the state space model.
 - The **step** command can be used to calculate the step response of the system.
 - The **initial** command can be used to calculate the natural response of the system.

9.3 Exercises

1. Simulate the response $y(t)$ of the circuit below, if $u(t)$ is a unit step function.



2. Simulate the response $y(t)$ of the circuit below, if $u(t)$ is a unit step function.



Real Analog Chapter 9: Lab Projects

9.3.1: State Variable Model of Series RLC Circuits

This lab will emphasize the use of the state variable approach for modeling electrical circuits.

In this assignment, we will create a state variable model of an electrical circuit and simulate the response of the circuit using MATLAB and/or Octave. We will then build and test the circuit. The circuit of interest in this lab assignment is a series RLC circuit; the circuit is actually the same circuit as in Part I of Lab 8.5.1. Unlike Lab 8.5.1, however, in this lab assignment we will analyze the circuit using state variable methods and use available computer software to simulate the circuit responses⁶ and verify our analysis by measuring both of the circuit states. The experimentally-determined responses and state trajectory will be compared with the simulated responses.

Before beginning this lab, you should be able to:

- Identify appropriate state variables for electrical systems
- Determine an appropriate state variable model for an electrical system from a schematic of the circuit.
- Use MATLAB or Octave to simulate a state variable model's response

After completing this lab, you should be able to:

- Use state variable methods to model electrical systems
- Experimentally measure the response of a system's state variables to an arbitrary input
- Plot state trajectories for a system from experimental data and simulation results

This lab exercise requires:

- Analog Discovery module
- Diligent Analog Parts Kit
- Digital multimeter (optional)

Symbol Key:

	Demonstrate circuit operation to teaching assistant; teaching assistant should initial lab notebook and grade sheet, indicating that circuit operation is acceptable.
	Analysis; include principle results of analysis in laboratory report.
	Numerical simulation (using PSPICE or MATLAB as indicated); include results of MATLAB numerical analysis and/or simulation in laboratory report.
	Record data in your lab notebook.

General Discussion:

In this lab assignment, we will create a state variable model of the circuit shown in Fig. 1. We will simulate the response of the circuit to a step input and compare the simulated step response to the measured step response for the circuit.

⁶ In Lab Project 8.5.1, we estimated a few important circuit response parameters (rise time, overshoot, steady-state response) based on the expected response of second order systems and some approximations. Simulating the circuit response using computer software provides the response as a function of time. This requires fewer assumptions and approximations than in Lab 8.5.1.

The circuit of Fig. 1 has two independent energy storage elements, and thus has two states. We will define states as the voltages across the capacitor and the current through the inductor. Our lab equipment does not readily allow for measurement of time-varying currents; therefore, we will use the measured voltage across the resistor and Ohm's law to infer the current through the inductor.

We will be measuring both system states in order to plot a *state trajectory* for the system. The state variables can be thought of as the axes of a coordinate system; the values of the state variables at any point in time uniquely define a point in that coordinate system. The coordinate system is called a *state space*, it corresponds to the space of all possible combinations of the state variables. Typically, when an input is applied to a system, the state variables will respond by changing from one value to another. The path in the state space through which the state variables pass is called the *trajectory*.

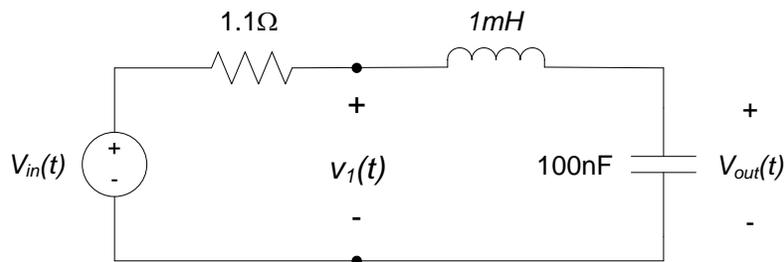


Figure 1. Circuit schematic.

Pre-lab:

ANALYSIS

Determine a state variable model for the circuit shown in Fig. 1, if the input voltage $V_{in}(t) = 2u_0(t)$ Volts, where $u_0(t)$ is the unit step function. Use the voltage across the capacitor and the current through the inductor as your states. Your model should output both system states. Simulate the response of your system using MATLAB or Octave. Plot the responses of both system states vs. time and the state trajectory.

Lab Procedures:

DATA

Construct the circuit shown in Fig. 1. Record actual values for the resistor and capacitor. (If your DMM does not have the capability of measuring capacitance, you may omit the capacitance measurement.)

DATA

a. Use a square wave input from your function generator to emulate a 3V step input to the system. Record the amplitude of the square wave you are applying to the system; you will need that in order to compare your data with your analysis from the pre-lab. Note: be sure that the frequency of the square wave is low enough to allow the system to reach a steady-state response.

DEMO

b. Demonstrate operation of your circuit to the TA and have them initial your lab notebook and the lab checklist.

DATA

c. Set up the oscilloscope to measure the voltage across the capacitor and the current through the inductor.

Oscilloscopes do not provide the capability to measure time-varying currents, so we will infer the current through the inductor from the voltage across the nominal 1.1Ω resistor Fig. 1. If we measure the voltage $v_R(t)$, we can calculate the inductor current as $\frac{V_R(t)}{1.1\Omega}$. To set up your oscilloscope to display the current through the inductor, do the following:

- i. Use, for example, channel 1 to measure the input voltage $V_{in}(t)$ and channel 2 to measure the voltage, $v_1(t)$. The voltage $v_R(t)$ is the difference between these two voltages.

- ii. Click on the “Add Math” on the oscilloscope toolbar and select **Custom**. A custom math channel control panel should appear.
- iii. Click on the button on the bottom of this window to set up the math expression; a math editor window should open. In the text box in this window, type the expression which determines current from the measured voltage on channel 2: $(CH1-C2)/1.1$. (Assuming that the resistance value of the resistor is 1.1Ω ; you should actually divide $(C1-C2)$ by whatever the actual resistance value is that you used.)
- iv. Click “OK” to create the math channel.

DATA

- d. Record an image of the oscilloscope window, showing the natural responses of both states. Also record the responses of the system states to a file.

DEMO

- e. Demonstrate operation of your circuit to the TA and have them initial your lab notebook and the lab checklist.
- f. Plot the responses measured in part (d) using your favorite plotting software (Excel, Matlab, ...)
- g. Plot the state trajectory as measured in part (d).

ANALYSIS

- h. Compare the step responses and the state trajectory you measured to the expected response from the pre-lab. Discuss the comparison in your lab report.

Post-lab Exercises:**ANALYSIS**

- a. Overlay the simulated output, $y(t)$, vs. time from your pre-lab simulations and the measured output response vs. time. Comment on the differences between the two.

SIM

- b. Re-do your simulations from the pre-lab using the actual component values. Also, include the resistance of the inductor in your simulation. (This resistance can be added directly to the 1.1Ω resistance, since the inductor and resistor are in series. No fundamental changes to your model are necessary.) Overlay plots of the simulation results (state responses vs. time and state trajectories) with the measured state responses and state trajectories. Comment on the differences between the simulation and the measured data.

ii. Simulated and measured state trajectories. (7 pts)

6. In the space below, provide a comparison between the measured and simulated step responses (e.g. a maximum difference between the measured and simulated responses) and discussion of measured vs. simulated step responses and state trajectories (include at least one possible source of any differences between the measured and simulated results). (7 pts)

Real Analog Chapter 9: Lab Projects

9.3.2: Second Order Circuit Response

This lab will emphasize the use of the state variable approach for modeling electrical circuits.

In this assignment, we will create a state variable model of a second order electrical circuit and simulate the response of the circuit using MATLAB and/or Octave. We will build and test the circuit, and measure both of the circuit states. The experimentally-determined responses and state trajectory will be compared with the simulated responses.

Before beginning this lab, you should be able to:

- Identify appropriate state variables for electrical systems
- Determine an appropriate state variable model for an electrical system from a schematic of the circuit.
- Use MATLAB or Octave to simulate the response of a state variable model to an arbitrary input

After completing this lab, you should be able to:

- Use state variable methods to model electrical systems
- Experimentally measure the response of a system's state variables to an arbitrary input
- Plot state trajectories for a system from experimental data and simulation results

This lab exercise requires:

- Analog Discovery module
- Digilent Analog Parts Kit
- Digital multimeter (optional)

Symbol Key:

- DEMO** Demonstrate circuit operation to teaching assistant; teaching assistant should initial lab notebook and grade sheet, indicating that circuit operation is acceptable.
- ANALYSIS** Analysis; include principle results of analysis in laboratory report.
- SIM** Numerical simulation (using PSPICE or MATLAB as indicated); include results of MATLAB numerical analysis and/or simulation in laboratory report.
- DATA** Record data in your lab notebook.

General Discussion:

In this lab assignment, we will create a state variable model of the circuit shown in Fig. 1. We will simulate the response of the circuit to a step input and compare the simulated step response to the measured step response for the circuit.

The circuit of Fig. 1 has two independent energy storage elements, and thus has two states. We will define states as the voltages across the capacitor and the current through the inductor. Our lab equipment does not readily allow for measurement of time-varying currents; therefore, we will use the measured voltage across the resistor and Ohm's law to infer the current through the inductor.

We will be measuring both system states in order to plot a *state trajectory* for the system. The state variables can be thought of as the axes of a coordinate system; the values of the state variables at any point in time uniquely define a point in that coordinate system. The coordinate system is called a *state space*, it corresponds to the space

of all possible combinations of the state variables. Typically, when an input is applied to a system, the state variables will respond by changing from one value to another. The path in the state space through which the state variables pass is called the *trajectory*.

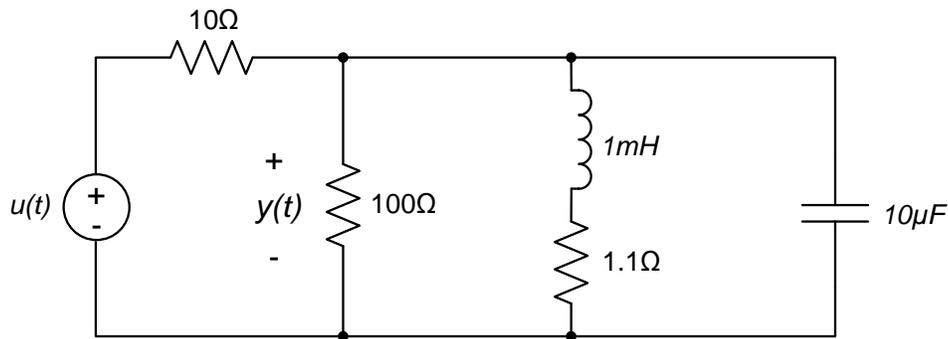


Figure 1. Circuit schematic.

Pre-lab:

ANALYSIS

Determine a state variable model for the circuit shown in Fig. 1. Use the voltage across the capacitor and the current through the inductor as your states. Simulate the response of your system using MATLAB or Octave. Plot the responses of both system states vs. time and the state trajectory. Your model should output both system states. The input voltage $V_{in}(t) = u_0(t)$ Volts, where $u_0(t)$ is the unit step function.

Lab Procedures:

DATA

Construct the circuit shown in Fig. 1. Record actual values for all resistors and the capacitor. (If your DMM does not have the capability of measuring capacitance, you may omit the capacitance measurement.)

DATA

a. Use a square wave input from your function generator to emulate a 1V step input to the system. Record the amplitude of the square wave you are applying to the system; you will need that in order to compare your data with your analysis from the pre-lab. Note: be sure that the frequency of the square wave is low enough to allow the system to reach a steady-state response.

DEMO

b. Demonstrate operation of your circuit to the TA and have them initial your lab notebook and the lab worksheet.

DATA

c. Set up the oscilloscope to measure the voltage across the capacitor and the current through the inductor. To do this, we will refer to the circuit schematic shown in Fig. 2 below. The capacitor voltage measurement is simple – simply use one of the oscilloscope channels (say, channel 1) to measure $v_C(t)$ indicated on Fig. 2.

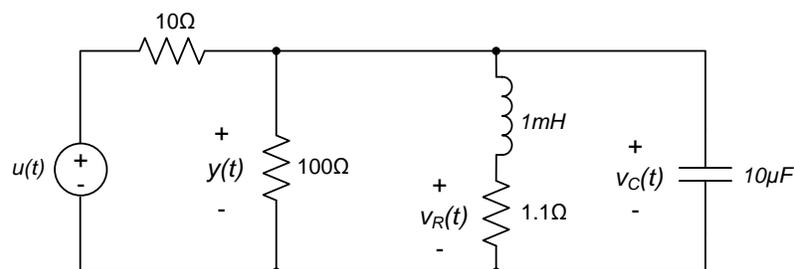


Figure 2. Schematic indicating measured voltages.

Oscilloscopes do not provide the capability to measure time-varying currents, so we will infer the current through the inductor from the voltage across the nominal 1.1Ω resistor Fig. 1. If we measure the voltage $v_R(t)$, we can calculate the inductor current as $\frac{v_R(t)}{1.1\Omega}$. To set up your oscilloscope to display the current through the inductor, do the following:

- i. Use, for example, channel 2 (CH2) to measure the input voltage $v_R(t)$.
- ii. Click on the “Add Channel” button on the oscilloscope toolbar, select **Add Mathematic Channel** and choose **Custom**. A custom math channel control panel should appear.
- iii. Click on the button on the bottom of this window to set up the math expression; a math editor window should open. In the text box in this window, type the expression which determines current from the measured voltage on channel 2: $C2/1.1$. (Assuming that the resistance value of the resistor is 1.1Ω ; you should actually divide $v_R(t)$ by whatever the actual resistance value is that you used.)
- iv. Click “OK” to create the math channel.

DATA

- d. Record an image of the oscilloscope window, showing the responses of both states. Also record the responses of the system states to a file⁷.

DEMO

- e. Demonstrate operation of your circuit to the TA and have them initial your lab notebook and the lab worksheet.
- f. Plot the responses measured in part (d) using your favorite plotting software (Matlab, Octave, Excel,...)
- g. Plot the state trajectory as measured in part (d).

ANALYSIS

- h. Compare the step responses and the state trajectory you measured to the expected response from the pre-lab. Discuss the comparison in your lab report.

Post-lab Exercises:**ANALYSIS**

- c. Overlay the simulated output, $y(t)$, vs. time from your pre-lab simulations and the measured output response vs. time. Comment on the differences between the two.

SIM

- d. Re-do your simulations from the pre-lab using the actual component values. Also, include the resistance of the inductor in your simulation. (This resistance can be added directly to the 1.1Ω resistance, since the inductor and resistor are in series. No fundamental changes to your model are necessary.) Overlay plots of the simulation results (state responses vs. time and state trajectories) with the measured state responses and state trajectories. Comment on the differences between the simulation and the measured data.

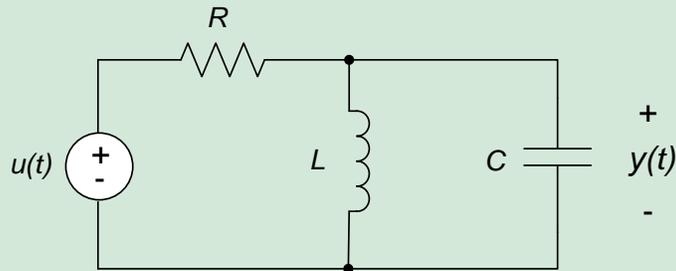
⁷ Hint: the “Export” button on the scope instrument can be used to export the data to either a .csv or .txt file. Most application software (e.g. MATLAB, Excel) allow you to import data in either of these formats.

ii. Simulated and measured state trajectories. (7 pts)

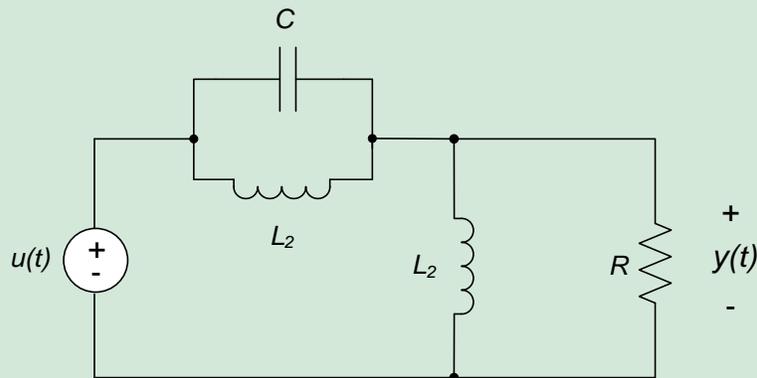
6. In the space below, provide a comparison between the measured and simulated step responses (e.g. a maximum difference between the measured and simulated responses) and discussion of measured vs. simulated step responses and state trajectories (include at least one possible source of any differences between the measured and simulated results). (7 pts)

Real Analog Chapter 9: Homework

- 9.1 Determine a state variable model, $\{A, b, c, d\}$ for the circuit shown. $u(t)$ is the input and $y(t)$ is the output.

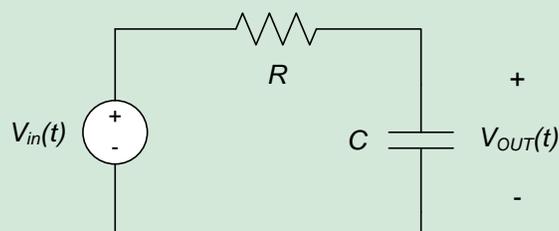


- 9.2 Determine a state variable model, $\{A, b, c, d\}$ for the circuit shown. $u(t)$ is the input and $y(t)$ is the output.



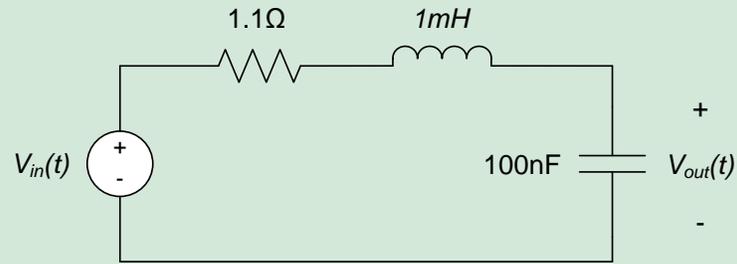
- 9.3 For the circuit below,

- Determine a state variable model, $\{A, b, c, d\}$ if $V_{in}(t)$ is the input and $V_{out}(t)$ is the output.
- Simulate and plot (using MATLAB or Octave) the response $V_{out}(t)$ of the circuit if $R = 470 \Omega$, $C = 1 \mu\text{F}$, and the input $V_{in}(t) = 4u_0(t)$, where $u_0(t)$ is the unit step function. From your plot, estimate the time constant of the circuit.
- Estimate the time constant of the circuit from the capacitance and equivalent resistance seen by the capacitor. Compare this value to the time constant estimated from the simulated response. Comment on any differences.



- 9.4 For the circuit below,

- Determine a state variable model, $\{A, b, c, d\}$ if $V_{in}(t)$ is the input and $V_{out}(t)$ is the output.
- Simulate and plot (using MATLAB or Octave) the response $V_{out}(t)$ of the circuit to an input $V_{in}(t) = 3u_0(t)$, where $u_0(t)$ is the unit step function. From your plots, estimate the maximum overshoot and rise time of the response.
- Determine an input-output relationship for the circuit and estimate the natural frequency, damping ratio, and final value $V_{out}(t \rightarrow \infty)$. From these, estimate the maximum overshoot and rise time of the response. Compare these values to those measured from your simulation. Comment on any differences.



9.5 For the circuit below,

- Determine a state variable model, $\{A, b, c, d\}$ if $V_{in}(t)$ is the input and $V_{out}(t)$ is the output.
- Simulate and plot (using MATLAB or Octave) the response of the circuit to an input $V_{in}(t) = 2u_o(t)$, where $u_o(t)$ is the unit step function.

